

**UNITED STATES DEPARTMENT OF COMMERCE****United States Patent and Trademark Office**

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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. |
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09/465,607 12/17/99 KEISER

T 10269/13

EXAMINER

TM02/0524

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POINVIL, F

ART UNIT

PAPER NUMBER

2164

DATE MAILED:

05/24/01

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

## Interview Summary

Application No.  
09/465,607

Applicant(s)  
Kaiser et al

Examiner  
Frantzy Poinvil

Group Art Unit  
2164



All participants (applicant, applicant's representative, PTO personnel):

(1) Frantzy Poinvil

(3) \_\_\_\_\_

(2) James Woods

(4) \_\_\_\_\_

Date of Interview May 22, 2001

Type: a) ☒ Telephonic b) ☐ Video Conference

c) ☐ Personal [copy is given to 1) ☐ applicant 2) ☒ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No. If yes, brief description:

Claim(s) discussed: 1-19

Identification of prior art discussed:

none

Agreement with respect to the claims f) ☐ was reached. g) ☒ was not reached. h) N/A

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments:

The Examiner agrees with Applicant's representative that the claims as presented contain statutory subject matter. The Examiner has also expressed concerns regarding the independent claims because the claims do not specifically state or employ a new method for trading because the independent claims merely recite a different labelling such as "a movie" and a "movie talent" respectively corresponding to a stock and a bond. The Examiner notes that the different labelling does not attribute to any manner different in which trades are currently executed. The Examiner has suggested language to present the claims in allowable form.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

i) ☒ It is not necessary for applicant to provide a separate record of the substance of the interview (if box is checked).

Unless the paragraph above has been checked, THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

FRANTZY POINVIL  
PRIMARY EXAMINER  
ART UNIT 2164